



PORTFOLIO ADVISERS LIMITED

(Member of the Nigerian Stock Exchange)

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KNOW-YOUR-CLIENT (KYC) FORM – INDIVIDUAL

(KINDLY COMPLETE IN CAPITAL LETTERS)

PERSONAL DATA	
Title: Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss. <input type="checkbox"/> Dr. <input type="checkbox"/> Chief. <input type="checkbox"/> Others: _____	Please staple your recent passport photograph
Name	
<i>Surname</i> _____ <i>Other Names</i> _____	
Gender: Male <input type="checkbox"/> Female <input type="checkbox"/>	
<i>(dd/mm/yyyy)</i> Date of Birth: _____ Place of Birth _____	
Marital Status: Married <input type="checkbox"/> Single <input type="checkbox"/> Others: _____	
Mother's Maiden Name: _____	
Nationality _____ Status: Resident - Individual <input type="checkbox"/> Non-Resident <input type="checkbox"/> Foreign National <input type="checkbox"/>	
State of Origin (Nigerians Only): _____ Local Govt. Area: _____	
Residential Address :	
City: _____ State: _____ Country: _____	
Postal Address (if different): _____	
Telephone: Mobile _____ Others _____	
Email Address: _____	
ID Type: International Passport <input type="checkbox"/> Driver's License <input type="checkbox"/> National ID Card <input type="checkbox"/>	
ID Number: _____ Issue Date: _____ Expiry Date: _____ Place of Issue: _____	
EMPLOYMENT DETAILS/ SOURCE OF FUNDING	
Employment Status: Full Time <input type="checkbox"/> Part Time <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Others <input type="checkbox"/>	
Occupation: _____	Appointment Date: _____
Company Name: _____	
Company/Office Address: _____	
Office Telephone: _____	
Office Email Address: _____	
Annual Average Income: Less than N2m <input type="checkbox"/> N2m – N10m <input type="checkbox"/> N10m and Above <input type="checkbox"/>	
Source of Investment Fund: Employment <input type="checkbox"/> Others: _____	
Purpose of Investment: _____	

Please Turn Over

CHN No With other Stockbroker:		
BANK ACCOUNT DETAILS (Your Bank Account Name should correspond with your CSCS Account Name)		
Bank:	Account Name:	
Account Number:	Date Of Creation: <i>(dd/mm/yyyy)</i>	BVN:
NEXT OF KIN DETAILS		
Title: Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss. <input type="checkbox"/> Dr. <input type="checkbox"/> Chief. <input type="checkbox"/> Others:		
Name:		

<i>Surname</i> <i>Other Names</i>		
Relationship: Parent <input type="checkbox"/> Child <input type="checkbox"/> Spouse <input type="checkbox"/> Others:		
Contact Address:		

Telephone:		

Email Address:		

QUESTIONNAIRE		
Have you occupied any Political Position?		
Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please state the most recent political position held : _____		
_____ Date: from _____ to _____		
Have any of your close relatives/associates occupied a Political Position?		
Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please state the most recent political position held: _____		
_____ Date: from _____ to _____		
DECLARATION		
I attest that all information provided herein is accurate and would notify you to update my/our records where any change occurs. I agree to be bound by the terms and conditions governing the operation of a stockbroking account.		

<i>Signature and Date</i>		
FOR OFFICIAL USE ONLY		
Verified by:	Signature and Date:	
_____	_____	
Approved by:	Signature and Date:	
_____	_____	
DOCUMENT CHECK LIST		
	Yes	No
Completed KYC form	<input type="checkbox"/>	<input type="checkbox"/>
Means of Identification (e.g. International Passport, Driver's License, National ID Card)	<input type="checkbox"/>	<input type="checkbox"/>
Passport photograph	<input type="checkbox"/>	<input type="checkbox"/>
Proof of address (e.g. Copy of recent utility bill Showing client's name and current address or backed up by Tenancy Agreement or receipt of payment)	<input type="checkbox"/>	<input type="checkbox"/>